Electronic A	cknowledgement Receipt			
EFS ID:	5275105			
Application Number:	10077182			
International Application Number:				
Confirmation Number:	9522			
Title of Invention:	Balancing arbitragable tracking securities			
First Named Inventor/Applicant Name:	Steven M. Bloom			
Customer Number:	26161			
Filer:	Denis G. Maloney/Marie Collins			
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Attorney Docket Number:	09857-092001			
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Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$1080
RAM confirmation Number	6439
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# File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
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			581628					
1 Reply Brief Filed	replybrief 09857-0092001.pdf	962da2f62c73a7dd324245f3cde09ebb644 93f6f	no	11				
Warnings:								
Information:								
2 Request for Oral Hearing	reqoral hearing 09857-0092001.	26984	no	1				
2 Request for Oral Healing	pdf	468e19bc35c1aeba14a3875ed80e194b284 201a2		' 				
Warnings:								
Information:								
3 Fee Worksheet (PTO-875)	fee-info.pdf	30005	no	2				
3 Fee Worksheet (PTO-875)	ree-imo.pui	050ec4055b0cb119710c245472cd85bdfd8 9447a		2				
Warnings:								
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	Total Files Size (in bytes): 638617							

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### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

## New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.